

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Richard Edwin Warren, Jr. et al. : Group Art Unit:
Serial No.: : Examiner:
Filed: :
For MONITORED LASER SHOCK PEENING :

INFORMATION DISCLOSURE STATEMENT

1 **Pursuant to 37CFR 1.97(b)**
[within 3 months of national, non-CPA filing or prior to 1st Office Action] *no charge*

2 **Certification Pursuant to 37 CFR 1.97(c)(1)**
[before Final Office Action, Allowance, or other action closing prosecution] *no charge*

3 **Fee Payment Pursuant to 37 CFR 1.97(c)(2)**
[before Final Office Action, Allowance, or other action closing prosecution] *\$180.00*

4 **Certification & Fee Payment Pursuant to 37 CFR 1.97(d)**
[On or before issue fee payment] *\$180.00*

ATTN: BOX PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The following are submitted in the above application in compliance with 37 CFR 1.97 and 37 CFR 1.98:

5 A list of documents on form PTO-1449 or Substitute together with copies of each ~~identified document~~ and a translation thereof or a concise explanation of each non-English language document or a Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date indicated below.

Date of Deposit

Type or Print Name

Signature

This paper is submitted in accordance with:

- 6 37 CFR 1.97(b): [within 3 months of national, non-CPA filing or prior to 1st Office Action]
- 7 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and
- 8 The required Certification made in item 11 below; or
- 9 The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 15 below.
- 10 37 CFR 1.97(d): [on or before issue fee payment]; and
 - a) The required Certification is stated in item 11 below; and
 - b) The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- 11 Certification
- 12 Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of this Statement; or
- 13 No item contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing date of this Statement.

14 Payment of all applicable fees:

- 15 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0868

An original and two (2) copies of this document are enclosed.

Respectfully submitted,

Oct. 30, 2003

Date

General Electric Company
CRD Patent Docket Rm 4A59
P.O. Box 8, Bldg. K-1
Schenectady, New York 12301
Customer Number: 006147

Attorney - Penny A. Clarke

Registration No. 46,627

Phone: (518) 387-5349
or (518) 387-7122

FORM PTO-1449 (REV. 7-80) (Title Amended 3/83)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. RD-27511-1	SERIAL NO.
INFORMATION DISCLOSURE STATEMENT BY APPLICANT-- <u>LIST OF ITEMS</u> (Use several sheets if necessary)		Applicant Richard Edwin Warren, Jr. et al.			
		Filing Date		Group	

U.S. PATENT DOCUMENTS									
*EXAMINER INITIAL	DOCUMENT NUMBER			DATE	NAME		CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA	6	5	7	0	1	2	6	05/27/03	Suh et al.
AB	6	5	7	0	1	2	5	05/27/03	Suh et al.
AC	6	5	5	9	4	1	5	05/06/03	Mannava et al.
AD	6	4	7	9	7	9	0	11/12/02	Graham et al.
AE	6	4	2	2	0	8	2	07/23/02	Suh
AF	6	3	3	3	4	8	8	12/25/01	Lawrence et al.
AG	6	2	9	6	4	4	8	10/02/01	Suh et al.
AH	6	2	8	1	4	7	3	08/28/01	Wright, III et al.
AI	6	2	1	5	0	9	7	04/10/01	Mannava
AJ	6	1	9	7	1	3	3	03/06/01	Unternahrer et al.
AK	6	1	5	9	6	1	9	12/12/00	Rockstroh et al.

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	No
AL								
AM								
AN								
AO								
AP								

OTHER INFORMATION (Including Author, Title, Date, Pertinent pages. Etc.)

AR	US 2003/0062350 A1, Staver et al., "LASER SHOCK PEENING METHOD AND APPARATUS", Application No. 09/965,320, April 3, 2003.
AS	
AT	

EXAMINER	DATE CONSIDERED
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

FORM PTO-1449
(REV. 7-80)
(Title Amended 3/83)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

SERIAL NO.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT--
LIST OF ITEMS

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	BA	6	1	3	0	4	0	0	10/10/00	Rockstroh		
	BB	6	0	9	4	2	6	0	07/25/00	Rockstroh et al.		
	BC	6	0	7	5	5	9	3	06/13/00	Trantow et al.		
	BD	6	0	2	1	1	5	4	02/01/00	Unternahrer		
	BE	6	0	0	5	2	1	9	12/21/99	Rockstroh et al.		
	BF	5	9	5	1	7	9	0	09/14/99	Mannava et al.		
	BG	5	9	4	8	2	9	3	09/09/99	Somers et al.		
	BH	5	9	3	2	1	2	0	08/03/99	Mannava et al.		
	BI	5	7	5	6	9	6	5	05/26/98	Mannava		
	BJ	5	7	4	4	7	8	1	04/28/98	Yeaton		
	BK	5	7	4	2	0	2	8	04/21/98	Mannava et al.		

FOREIGN PATENT DOCUMENTS

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									YES	No
	BL									
	BM									
	BN									
	BO									
	BP									

OTHER INFORMATION (Including Author, Title, Date, Pertinent pages. Etc.)

	BR	
	BS	
	BT	

EXAMINER

DATE CONSIDERED

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U.S. PATENT DOCUMENTS													
*EXAMINER INITIAL	DOCUMENT NUMBER			DATE	NAME		CLASS	SUBCLASS	FILING DATE IF APPROPRIATE				
	CA	5	7	3	0	8	1	1	03/24/98	Azad et al.			
	CB												
	CC												
	CD												
	CE												
	CF												
	CG												
	CH												
	CI												
	CJ												
	CK												
FOREIGN PATENT DOCUMENTS													
		DOCUMENT NUMBER		DATE	COUNTRY		CLASS	SUBCLASS	TRANSLATION				
	CL								YES	No			
	CM												
	CN												
	CO												
	CP												
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	CR												
	CS												
	CT												
EXAMINER				DATE CONSIDERED									
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